

# HART



# IQ Healthy Hearts ETF

## Why Invest: Creating Healthy Hearts and Communities **With HART**

As interest in impact investing grows, studies suggest that investors are reconsidering how their investments reflect their personal views and values. The IQ Healthy Hearts ETF (HART), which was created in alignment with the American Heart Association, seeks to focus these values on individual financial goals by investing for both heart health and the fight against health inequity.



Heart disease is the  
**#1** CAUSE  
OF DEATH  
in the U.S.<sup>1</sup>



**127 million**  
Americans over 20  
live with heart disease<sup>2</sup>



Heart disease costs the U.S.  
**363 billion**  
PER YEAR<sup>3</sup>

## A Data-Driven Investment Opportunity

Statistics suggest that an attractive investment opportunity has arisen from health-focused trends:



The global cardiovascular drug market is projected to reach \$64 billion by 2026, exhibiting a compound annual growth rate (CAGR) of 3.8% during the forecast period.<sup>4</sup>



Companies involved in consumer-packaged goods saw impressive sales growth in 2019 from fresh foods (+\$4.6 billion), organics (\$925 million) and foods that support plant-based diets (+\$982 million).<sup>5</sup>



The fitness equipment market size is expected to reach \$15.2 billion by 2027, registering a CAGR of 3.5% during the forecast period.<sup>6</sup>

## About HART

As the first ETF in our IQ Dual Impact ETF suite, HART grants access to stocks of companies that are contributing to the fight against heart disease or are promoting healthy lifestyles.

HART tracks the IQ CANDRIAM Healthy Hearts Index, which utilizes positive selection criteria and exclusionary screens, to provide investors exposure to stocks of global companies that:

- Seek to diagnose or treat cardiovascular disease
- Enable people to adopt healthier lifestyles through above-average involvement in healthy food & wellness products
- Provide solutions for people to engage in regular exercise and track their fitness
- Offer access to health education through IT services

## The Portfolio in Action

HART'S portfolio allocation includes companies such as:



Multinational consumer electronics manufacturer specializing in mobile devices, personal computers, tablets, and wearables

**Sector:** Information Technology  
**Country:** United States



Developer and manufacturer of athletic footwear, apparel, and accessories  
**Sector:** Consumer Discretionary  
**Country:** United States



Pharmaceutical products manufacturer focused on diabetes care and insulin delivery systems  
**Sector:** Health Care  
**Country:** Denmark

Sample holdings are shown for illustrative purposes only.

## Fighting Health Inequity With The American Heart Association

New York Life Investments and IndexIQ are donors to and supporters of the American Heart Association's Social Impact Fund. Investing in HART helps to further these contributions, through which we will be able to help under-resourced communities achieve health equity.



American Heart Association.

Social Impact Fund

The American Heart Association's Social Impact Fund seeks to mitigate health inequity in underserved communities and enable everyone, regardless of social standing or background, to live long, healthy lives. Nearly 80% of an individual's health is determined by factors other than access and quality of clinical care, which is why the Social Impact Fund invests in efforts to help communities develop sustainable solutions to social detriments of health, including environmental and social conditions.

New York Life Investment Management LLC (NYLIM) and IndexIQ Advisors LLC (IndexIQ) are donors to and supporters of the American Heart Association's Social Impact Fund and are making a substantial contribution to the Social Impact Fund.

**Learn More | Visit [iqdualimpactetfs.com](http://iqdualimpactetfs.com)**

**HART** is available for purchase on all major trading platforms.

1. American Heart Association. "Heart Disease and Stroke Statistics—2021 Update: A Report from the American Heart Association." Published Jan. 27, 2021
  2. Ibid.
  3. Centers for Disease Control and Prevention. "Heart Disease Facts." Accessed 4 Oct. 2021. <https://www.cdc.gov/heartdisease/facts.htm>
  4. Fortune Business Insights, Cardiovascular Drugs Market Size, Share & Industry Analysis, By Drug Type, By Disease Indication, By Distribution Channel and Regional Forecast, 2019-2026. As of Nov. 2019.
  5. Nielsen Retail Measurement Services. "How America Will Eat." Data from 52-week period ended Oct. 26, 2019 vs. year ago.
  6. Allied Market Research, Fitness Equipment Market by Type (Cardiovascular Training Equipment, Strength Training Equipment and Other Equipment) and End User (Home Consumer, Health Club/Gym and Other Commercial User): Global Opportunity Analysis and Industry Forecast, 2020-2027. As of Mar. 2020.
- The IQ CANDRIAM Healthy Hearts Index seeks to provide investors with exposure to select companies that are involved in the diagnosis and/or treatment of heart disease, or that provide goods or services that allow people to adopt or maintain a healthy lifestyle.

#### **ABOUT RISK**

**Foreign securities** can be subject to greater risks than U.S. investments, including currency fluctuations, less liquid trading markets, greater price volatility, political and economic instability, less publicly available information, and changes in tax or currency laws or monetary policy. These risks are likely to be greater for emerging markets than in developed markets.

#### **Large-Capitalization Companies**

Risk Large-capitalization companies may be less able than smaller capitalization companies to adapt to changing market conditions. Large-capitalization companies may be more mature and subject to more limited growth potential compared with smaller capitalization companies. During different market cycles, the performance of large-capitalization companies has trailed the overall performance of the broader securities markets.

#### **New Fund Risk**

The Fund is a new fund. As a new fund, there can be no assurance that it will grow to or maintain an economically viable size, in which case it could ultimately liquidate.

**Small and mid-cap stocks** are often more volatile than large-cap stocks. Smaller companies generally face higher risks due to their limited product lines, markets and financial markets.

#### **ESG Investing Style Risk**

Impact investing and/or Environmental, Social and Governance (ESG) managers may take into consideration factors beyond traditional financial information to select securities, which could result in relative investment performance deviating from other strategies or broad market benchmarks, depending on whether such sectors or investments are in or out of favor in the market. Further, ESG strategies may rely on certain values based criteria to eliminate exposures found in similar strategies or broad market benchmarks, which could also result in relative investment performance deviating. There is no assurance that employing ESG strategies will result in more favorable investment performance.

#### **Healthy Hearts Investing Style Risk**

Companies involved in health and wellness activities include companies that diagnose and treat diseases, operate gyms and fitness/wellness facilities as well as companies that provide, manufacture or distribute natural/organic foods, sports/fitness equipment, wearable fitness technology, fitness/athletic apparel, nutritional supplements, anti-aging products and dietary services. The risks related to investing in such companies include rapid changes in medical and pharmaceutical advancements, consumer trends, social trends, marketing campaigns, and consumers' disposable income. The customers and/or suppliers of health-related companies may be concentrated in a particular country, region or industry. Any adverse event affecting one of these countries, regions or industries could have a negative impact on health and wellness companies.

Shares of IQ Healthy Hearts ETF (the "Fund") are not sponsored, endorsed or promoted by American Heart Association, Inc. ("AHA"). The Fund's sponsor, IndexIQ, and its affiliates are donors to and supporters of AHA's Social Impact Fund and are making a substantial contribution to the Social Impact Fund in connection with AHA's agreement to license use of its name and trademarks to IndexIQ and its affiliates. AHA makes no representation or warranty, express or implied, to prospective or actual investors in the Fund or to any member of the public regarding the advisability of investing in any financial product, including one seeking to track the Underlying Index, the ability of the Fund to track the performance of the Underlying Index, the ability of the Underlying Index to meet or exceed stock market performance, the suitability of the Fund or the ability of the Underlying Index or Fund to achieve its investment goals. AHA has no obligation or liability in connection with the administration, marketing or trading of shares of the Fund. AHA is not an investment adviser or a fund distributor or service provider. Inclusion of a security within the Underlying Index is not a recommendation by AHA to buy, sell or hold such security, nor is it considered to be investment advice or a guarantee that the investment goals of the Underlying Index will be achieved. AHA does not guarantee the accuracy and/or the completeness of the Underlying Index or any data included therein.

Investing involves risk, including possible loss of principal. Asset allocation and diversification may not protect against market risk, loss of principal, or volatility of returns.

There is no guarantee that these investment strategies will work under all market conditions or are suitable for all investors, and each investor should evaluate their ability to invest long-term, especially during periods of downturn in the market.

No representation is being made that any account, product, or strategy will or is likely to achieve profits.

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**Consider the Funds' investment objectives, risks, charges and expenses carefully before investing. The prospectus and the statement of additional information include this and other relevant information about the Funds and are available by visiting [IQetfs.com](http://IQetfs.com). Read the prospectus carefully before investing.**



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