

# CLNR

## NYLI Cleaner Transport ETF†

All data as of 9/30/24

**Fund description:** The NYLI Cleaner Transport ETF (CLNR) seeks investment results that track, before fees and expenses, the price and yield performance of the NYLI Candriam Cleaner Transport Index. The Index which is designed to deliver exposure to global companies that support the transition to more sustainable and efficient modes of transportation, which includes supporting technology and infrastructure.

### Cleaner Transport

Gain exposure to the “clean transport” theme, through companies that support the transition to more environmentally efficient transportation technologies.

### Take a stand

Investing in CLNR helps further NYLIM’s ongoing contributions to support National Wildlife Federation’s initiatives††.

### A strategic ESG investment

CLNR can serve as a thematic satellite position†† in an ESG portfolio, or complement an existing core equity portfolio by providing an ESG tilt.

### Average Annual Total Returns (%)

	SI = Since Fund Inception (10/21/2021)				
	QTR	YTD	1 Yr	3 Yrs	SI
NYLI Cleaner Transport ETF (NAV)	6.17	9.68	20.07	-	1.91
NYLI Cleaner Transport ETF (MP)	5.65	9.28	19.91	-	1.78
NYLI Candriam Cleaner Transport Index	6.31	10.17	20.78	-	2.50
MSCI World Index (Net)	6.36	18.86	32.43	9.08	7.55

### Calendar Year Returns (%)

	2023	2022
NYLI Cleaner Transport ETF (NAV)	27.80	-26.78
NYLI Cleaner Transport ETF (MP)	28.02	-26.96
NYLI Candriam Cleaner Transport Index	28.73	-26.50
MSCI World Index (Net)	23.79	-18.14

**Returns represent past performance which is no guarantee of future results. Current performance may be lower or higher. Investment return and principal value will fluctuate, and shares, when redeemed, may be worth more or less than their original cost. Performance reflects a contractual fee waiver and/or expense limitation agreement in effect until terminated by the board of Trustees of the ETF, without which total returns may have been lower. Visit [www.newyorklifeinvestments.com](http://www.newyorklifeinvestments.com) for the most recent month-end performance. Expenses stated are as of the fund's most recent prospectus.**

**Portfolio data as of 9/30/24. Percentages based on total net assets and may change daily**

### Top Holdings<sup>1</sup> (%)

Tesla, Inc.	3.51
BYD Company Limited	3.39
Advanced Micro Devices, Inc.	3.17
Iberdrola, S.A.	3.14
General Electric Company	3.10
Hitachi Ltd.	3.09
Siemens Aktiengesellschaft	3.09
Intel Corporation	3.05
National Grid Plc	3.02
NextEra Energy, Inc.	3.01

Shares are bought and sold at market price (not NAV) and are not individually redeemed from the Fund. Total Returns are calculated using the daily 4:00 pm ET net asset value (NAV). The price used to calculate the market price returns (“MP”) is the mean between the day’s last bid and ask prices on the fund’s primary exchange. The market price returns do not represent returns an investor would receive if shares were traded at other times.

Index performance is for illustrative purposes only and does not represent actual Fund performance. One cannot invest directly in an index. Performance data for the Index assumes reinvestment of dividends and is net of the management fees for the Index’s components, as applicable, but it does not reflect management fees, transaction costs or other expenses that you would pay if you invested in the Fund directly. No representation is being made that any investment will achieve performance similar to that shown.

<sup>1</sup>Effective 8/28/24, IQ Cleaner Transport ETF was renamed NYLI Cleaner Transport ETF.

<sup>††</sup>Established in 1936, the National Wildlife Federation believes America’s experience with cherished landscapes and wildlife has helped define and shape our national character and identity for generations. As such, the organization has been uniting Americans to protect wildlife and our natural resources through hands-on programs, policy work, community outreach, and much more.

New York Life Investment Management LLC (NYLIM) contributes to the National Wildlife Federation’s charitable initiatives based on a portion of CLNR’s management fee. Through our ongoing donations, we are proud to help the National Wildlife Federation further its mission to advance climate solutions that help create a zero-carbon future where wildlife and people thrive.

### Fund Details

Ticker	CLNR
Stock Exchange	NYSE Arca
Inception Date	10/21/2021
Total Net Assets	\$5.55 M
Morningstar Category	Industrials
Benchmark	NYLI Candriam Cleaner Transport Index
Number of Holdings	80
Weighted Average Market Cap	\$292.56 B
Price/Earnings	14.10
Price/Book Value	1.66

### Fund Expenses\*

Management Fee	0.45%
Other Expenses	0.01%
<b>Total Annual Fund Operating Expenses</b>	<b>0.46%</b>
Expense Waiver/Reimbursement	0.01%
<b>Total Annual Fund Operating Expenses After Waiver/Reimbursement</b>	<b>0.45%</b>

### Index Details

Ticker	IQCLEANN
Inception Date	8/31/2021

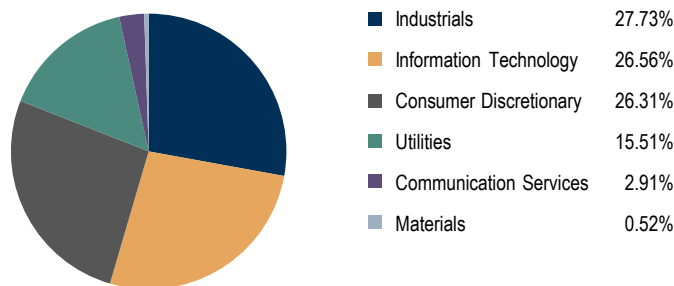
\*As stated in the Fund’s prospectus, the management fee of 0.45% is expressed as a unitary fee to cover expenses incurred in connection with managing the portfolio.

Not FDIC/NCUA Insured | Not a Deposit | May Lose Value | No Bank Guarantee | Not Insured by Any Government Agency

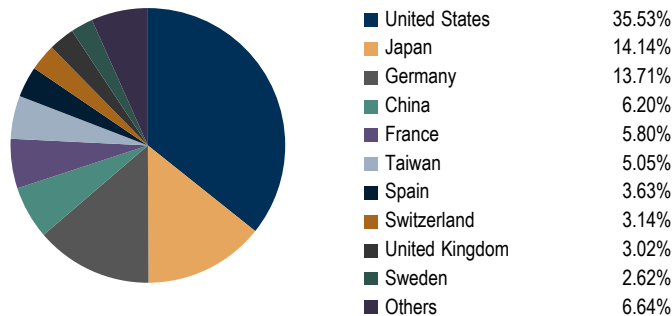


# NYLI Cleaner Transport ETF

## Sector Allocation<sup>1</sup> (%)



## Country Allocation<sup>1</sup> (%)



## Before You Invest

**Before considering an investment in the Fund, you should understand that you could lose money.**

**Foreign securities** can be subject to greater risks than U.S. investments, including currency fluctuations, less liquid trading markets, greater price volatility, political and economic instability, less publicly available information, and changes in tax or currency laws or monetary policy. These risks are likely to be greater for emerging markets than in developed markets.

**Large-Capitalization Companies Risk** Large-capitalization companies may be less able than smaller capitalization companies to adapt to changing market conditions. Large-capitalization companies may be more mature and subject to more limited growth potential compared with smaller capitalization companies. During different market cycles, the performance of large-capitalization companies has trailed the overall performance of the broader securities markets.

**Small and mid-cap** stocks are often more volatile than large-cap stocks. Smaller companies generally face higher risks due to their limited product lines, markets and financial markets.

**ESG Investing Style Risk** Impact investing and/or Environmental, Social and Governance (ESG) managers may take into consideration factors beyond traditional financial information to select securities, which could result in relative investment performance deviating from other strategies or broad market benchmarks, depending on whether such sectors or investments are in or out of favor in the market. Further, certain ESG strategies may limit exposures found in similar strategies or broad market benchmarks, which could also result in relative investment performance deviating. There is no assurance that employing ESG strategies will result in more favorable investment performance.

**Cleaner Transport Investing Style** - The returns on a portfolio of securities that excludes companies that have not adopted practices and policies [to support the transition to more efficient transportation technologies] may trail the returns on a portfolio of securities that includes companies that have not adopted these practices and policies. Investing only in a portfolio of securities [of companies that help to achieve cleaner transportation] may affect the Fund's exposure to certain types of investments and may adversely impact the Fund's performance depending on whether such investments are in or out of favor in the market.

"NYLI Cleaner Transport ETF (the "Fund") is offered by NYLIM, the Fund's sponsor, in alignment with the National Wildlife Federation ("NWF"). The Fund is designed to promote investment objectives consistent with NWF's mission. Shares of the Fund are not sponsored, endorsed or promoted by NWF, and NWF is not an investment adviser or service provider to the Fund. NWF makes no representations or warranties regarding the performance of the Fund and will have no obligation or liability in connection with the Fund. The Fund's sponsor, NYLIM, and its affiliates are supporters of and donors to NWF and are making a substantial contribution to NWF in connection with NWF's agreement to license use of its name and trademarks to NYLIM and its affiliates. NYLIM's contribution to NWF is calculated on an annualized basis to be the lower of: (i) 0.045% of the Fund's average daily net managed assets (the average daily value of the total assets of the Fund, less all accrued liabilities of the Fund and less any management fees waived by the Fund's adviser or sub-adviser); or (ii) ten percent of the Fund's net annual management fee taking into account all applicable fee waivers and expense reimbursements. NYLIM will make annual minimum contributions to NWF of \$30,000 in each of the years 2021 to 2025 so long as their commercial co-venture is in effect."

†"New York Life Investment Management LLC ("NYLIM") is a donor and supporter of the National Wildlife Federation ("NWF"). NWF has authorized NYLIM to reference its name and certain marks owned by NWF in connection with a commercial co-venture entered into between the Parties. None of the payments made by NYLIM to NWF are in exchange for services provided by NWF."

The NYLI Candriam Cleaner Transport Index incorporates thematic selection criteria designed to provide exposure to equity securities of companies that support the transition to more environmentally efficient transportation technologies, such as electric vehicles, bicycles, motor vehicle parts manufacturers, and multi-passenger transportation. Companies selected for inclusion in the Index offer, support or enable cleaner transportation products and services. The Underlying Index was developed by NYLIM with Candriam Belgium S.A. acting as index consultant. The Underlying Index was developed by NYLIM with Candriam Belgium S.A. acting as index consultant.

The MSCI World Index (Net) is a free float-adjusted market capitalization-weighted index that is designed to measure the equity market performance of developed markets.

††A satellite position is a specialist investment which seeks to achieve additional alpha outside of a portfolio's core holdings, which typically seek to achieve market-rate returns. ESG means Environmental, Social and Governance.

1. Percentages based on total net assets and subject to change without notice.

**Price/Earnings Ratio** is a valuation of a company's current share price compared to its per-share earnings and is not intended to demonstrate growth or income. **Price/Book Ratio** is used to compare a company's stock's value to its book value and is not intended to demonstrate growth or income.

**Consider the Funds' investment objectives, risks, charges, and expenses carefully before investing. The prospectus and summary prospectus include this and other information about the Funds and are available by visiting the [Prospectus](#). Read the prospectus carefully before investing.**

Fund shares are not individually redeemable and will be issued and redeemed at their NAV only through certain authorized broker-dealers in large, specified blocks of shares called "creation units", and otherwise, can be bought and sold only through exchange trading. Creation units are issued and redeemed principally in-kind.

"New York Life Investments" is both a service mark, and the common trade name, of certain investment advisors affiliated with New York Life Insurance Company. Securities distributed by NYLIFE Distributors LLC, 30 Hudson Street, Jersey City, NJ 07302, Member FINRA/SIPC.

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