ADVISOR ADVANCEMENT INSTITUTE

RetirementFolio checklist

YOUR RETIREMENT ROAD MAP

NEW YORK LIFE INVESTMENTS

It's the first step on your journey to retirement—helping you collect the documents and information you'll need to better plan for a secure financial future.

You will need an income strategy that covers everyday needs, as well as special expenses. As you prepare to shift from accumulating assets to using that money to pay for your retirement, you and your financial professional will want to map out:

- Your retirement savings and any current income.
- What you will need to cover everyday expenses, in addition to other discretionary expenses.
- Your risk tolerance and investment experience.
- Any challenges or legacy planning concerns that may affect your finances.

Your RetirementFolio checklist, recent documents, and approximate account values can then be used to create your personal balance sheet—a comprehensive, yet brief, overview of your finances.

	Key Contacts	Address	Phone Number
	CPA/Accountant		
	Insurance Representative		
	Attorney		
	Financial Professional		
	Financial Professional		

	Assets	Institution and Details	
	Bank, Credit Union, Other Liquid Assets		
	Checking or Savings Account	$	
	Checking or Savings Account	$	
	CD Account	$	
	CD Account	$	
	Savings Bond(s) (electronic)	$	
	Savings Bond(s) (paper form)	$	
	Life Insurance (cash value and policy face amount)	$	$
	Life Insurance (cash value and policy face amount)	$	$
	Other	$	
	Investments		
	Brokerage Account	$	
	Brokerage Account	$	
	Brokerage Account	$	
	Mutual Fund Account	$	
	Mutual Fund Account	$	
	Asset Management Account	$	
	Asset Management Account	$	
	Trust Company Account	$	
	Other	$	

Assets		Institution and Details
	Retirement, Other Tax-Deferred Assets, Benefit Plans	Estimated Total Amounts
	IRA/IRA Rollover Account	$
	IRA/IRA Rollover Account	$
	IRA/IRA Rollover Account	$
	Annuity Account	$
	Annuity Account	$
	401(k) (company stock included? ❑ yes ❑ no)	$
	401(k) (company stock included? ❑ yes ❑ no)	$
	403(b)/457 Account	$
	Profit Sharing, SIMPLE IRA, SEP IRA Accounts	$
	Deferred Compensation Plan	$
	Incentive Stock Option Plan	$
	Employee Stock Purchase Plan	$
	Stock Option Plan	$
	Employee Stock Ownership Plan	$
	Restricted Stock Account	$
	Phantom Stock Account	$
	Excess Benefit Plan	$
	Supplemental Retirement Plan	$
	Stock Appreciation Rights	$
	Other	$
	Real Estate	
	Primary Residence (market value)	$
	Other Real Estate (market value)	$
	Real Estate Limited Partnership Account	$
	Personal Assets at Market Value	
	Auto(s)	$
	Boats, Other Vehicles	$
	Fine Jewelry	$
	Home Furnishings	$
	Art, Antiques	$
	Collectibles	$
	Other Miscellaneous Assets	
	Business Interests (reasonable valuation)	$
	Loans Receivable	$
	Other	$
Guaranteed Income		
	Sources of Guaranteed Income	Estimated Monthly Payment
	Social Security	$
	Pension	$
	Fixed Annuities	$

Liabilities		Institution and Details
	Credit/Debit	Estimated Total Amounts
	Current Unpaid Monthly/Periodic Bills	$
	Credit Card Account	$
	Credit Card Account	$
	Credit Card Account	$
	Consumer Loan Account	$
	Home Mortgage Account	$
	Other Mortgage Account	$
	Home Equity Loan, Home Equity Line of Credit	$
	Student Loan Account	$
	Loans Against Life Insurance or 401(k)	$
	Auto Loan(s)	$
	Other	$
	Off-Balance Sheet Assets, Proceeds	
	529 College Savings Plan Account	$
	Trust Proceeds	$ (Provide beneficiary)
	Other	$
	Taxes	
	Last Year's Federal Tax Return	$
	Other	$

For more information
morethaninvesting.com

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